

Theory of Computation
 Spring 2024, Midterm Exam. (April 9, 2024)
 Solutions

(1) (10 pts) Answer the following questions:

(a) (5 pts) Give a language that cannot be recognized by a DFA with a single final state. Why?

Sol: $L = \{\epsilon, a\}$. For a DFA to accept ϵ , the initial state must be a final state. We need another state to accept a .

(b) (5 pts) Prove/disprove that any regular language can be recognized by an NFA with a single final state.

Sol: YES. For each final state of an NFA, add an ϵ transition to a new final state. Then the original final state can be made non-final.

(2) (15 pts) Given a language $L \subseteq \Sigma^*$ and a $w \in \Sigma^*$, the *residual* of L with respect to w , denoted as L^w , is $L^w = \{u \in \Sigma^* \mid wu \in L\}$.

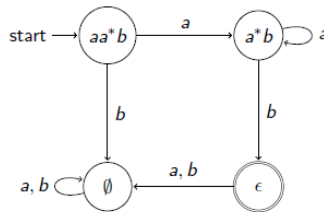
(a) (3 pts) State the *Myhill-Nerode Theorem* in terms of residuals of a language.

Sol: A language L is regular if and only if it has finitely many residuals.

| String w | Residual L^w |
|------------|----------------|
| a | a^*b |
| b | \emptyset |
| ab | ϵ |
| ϵ | aa^*b |

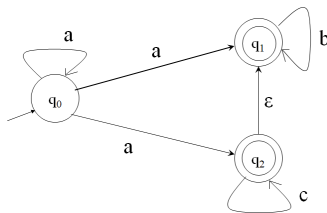
(b) (8 pts) Consider language $L = aa^*b$. Complete the following table:

(c) (4 pts) Based on the results above, draw a 4-state DFA M accepting L . Note that each node in M should be labeled with a residual. Be sure to clearly mark the initial and the final states.



(3) (10 pts)

(a) (5 pts) Convert the following NFA M (over alphabet $\{a, b, c\}$) to an equivalent DFA using the subset construction

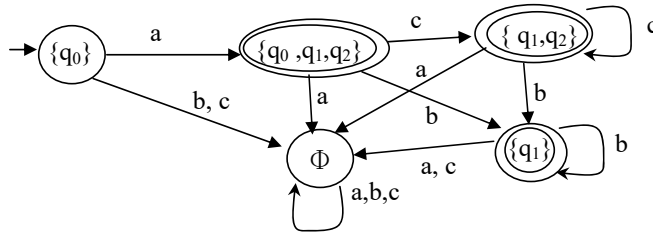


(b) (5 pts) Suppose we define a so-called *universal NFA* which is an NFA $M = (Q, \Sigma, \delta, q_0, F)$ except that the language it accepts is defined as $\{w \in \Sigma^* \mid \delta^*(q_0, w) \subseteq F\}$, i.e., to accept w all the computations must enter some states in F . Recall that the original definition of acceptance for NFA is $\{w \in \Sigma^* \mid \delta^*(q_0, w) \in F\}$. Here $\delta^*(q_0, w)$ denotes the set of states reachable from q_0 upon reading w .

- **(Question)** Explain how to convert the DFA constructed in (a) to accept the language of M , assuming that M is a universal NFA.

(a) **Sol:**

(b) **Sol:** Make $\{q_0, q_1, q_2\}$ a non-final state, as q_0 is not a final state in the NFA.



(4) (5 pts) Given a language L , $perm(L)$ consists of all permutations of strings in L . For example, for $L = \{abc\}$, $perm(L) = \{abc, acb, bac, bca, cab, cba\}$. Suppose L is regular, is $perm(L)$ always regular? Justify your answer.
Sol: Not necessarily regular. Consider $L = (ab)^*$. $perm(L) = \{w \in \{a, b\}^* \mid \text{the number of } a\text{'s} = \text{the number of } b\text{'s}\}$, which is not regular.

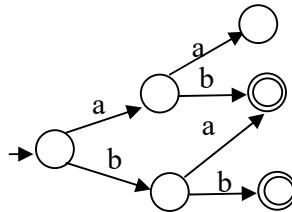
(5) (5 pts) Let L be a regular language. Is $\{w \in \Sigma^* \mid ww \in L\}$ always regular? Give a brief yet convincing argument.
Sol: YES. Suppose $M = (q, \Sigma, \delta, q_0, F)$ is a FA accepting L . Construct an NFA with initial state q'_0 and each of its remaining states of the form (p, q, r) such that

- $q'_0 \xrightarrow{\epsilon} (q, q_0, q), \forall q \in Q$
- $(q, p, r) \xrightarrow{a} (q, p', r')$ if $p \xrightarrow{a} p'$ and $r \xrightarrow{a} r'$,
- (q, q, q_f) is a final state if $q_f \in F$.

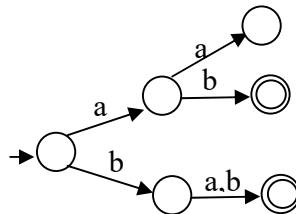
Note: the the construction is very similar to what we did for accepting $\frac{1}{2}L$ discussed in class.

(6) (10 pts) A DFA $M = (Q, \Sigma, \delta, q_0, F)$ is *reversible* if no input symbol can enter a state from two distinct states, i.e. for every $p, q \in Q$ and $a \in \Sigma$, if $\delta(p, a) = \delta(q, a)$, then $p = q$.

(a) (5 pts) Give a reversible DFA recognizing $L = \{ab, ba, bb\}$. Note that for a DFA, every input symbol must be defined on every state except for those sink states (i.e., states without outgoing transitions).



(b) (5 pts) Is there a unique minimal reversible DFA recognizing L (up to isomorphism)? Justify your answer.
Sol: No.



(7) (5 pts) Suppose we use the pumping lemma to show that

$$L = \{0^n 1^m \mid n, m \geq 1, m \text{ leaves a remainder of } 3 \text{ when divided by } n\}$$

is not regular. (For example, $0^4 1^7, 0^5 1^{13} \in L$.)

(Proof) Let p be the pumping constant. Consider $w = 0^{p+4} 1^{p+7}$. Let $w = xyz$ be any partition that $|xy| \leq p$, and $|y| > 0$. Suppose $x = 0^r$, $y = 0^s$, $z = 0^t 1^{p+7}$, where $r + s + t = p + 4$, $r + s \leq p$, $s > 0$. Now consider $xy^2z = 0^{r+2s+t} 1^{p+7} = 0^{p+4+s} 1^{p+7}$.

(Question:) Complete the proof by showing the remaining details that xy^2z is not in L .

Sol: Consider the following two cases:

- if $p + 4 + s \leq p + 7$, then $(p + 7 \bmod p + 4 + s) \leq 2$
- if $p + 4 + s > p + 7$, then $(p + 7 \bmod p + 4 + s) = p + 7 > 3$.

In either case, we have a contradiction.

(8) (20 pts) For each of the languages below, decide whether the language is context-free or not. Mark \bigcirc if it is context-free. mark \times if not. No explanations are needed. No penalty for wrong answer.

(1) $\{0^n 1^n \mid n \geq 0\}$

Sol: \bigcirc . The language is $\{0^n 1^{2n} \mid n \geq 0\}$

(2) $\{0^n 1^n 0^n \mid n \geq 0\}$

Sol: \times . The language is $\{0^n 1^{2n} 0^n \mid n \geq 0\}$

(3) $\{0^n 1^m \mid m, n \text{ are either both odd or both even}\}$

Sol: \bigcirc . Use states to keep track of whether m, n is odd or even.

(4) $\{0^n 1^n 0^m 1^m \mid m, n \geq 0\}$

Sol: \bigcirc Can be accepted by a PDA.

(5) $\{(0^n 1^n)^m \mid m, n \geq 0\}$

Sol: \times . Use pumping lemma.

(6) $\{wxw \mid w, x \in \{0, 1\}^*\}$

Sol: \bigcirc . Set w to ϵ . The language = $\{0, 1\}^*$.

(7) $\overline{\{a^n b^n c^n \mid n \geq 0\}}$, i.e., the complement of $\{a^n b^n c^n \mid n \geq 0\}$.

Sol: \bigcirc .

The set is the union of (1) not of the form $a^* b^* c^*$; (2) $\{a^i b^j c^k \mid i \neq j\}$; (3) $\{a^i b^j c^k \mid i \neq k\}$; (4) $\{a^i b^j c^k \mid k \neq j\}$

(8) $\{a^i b^j \mid i + j = n^2, \text{ for some } n \geq 0\}$

Sol: \times . Intersecting with a^* yields $\{a^{n^2} \mid n \geq 0\}$, which is not CF.

(9) $\{a^p b^q c^r \mid p, q, r \geq 0, p = r = 2q\}$

Sol: \times . Use pumping lemma.

(10) $\{a^p b^q c^r \mid p, q, r \geq 0, p + r = 2q\}$

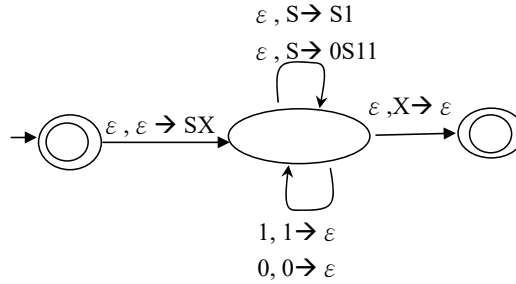
Sol: \bigcirc . Can be accepted by a PDA.

(9) (10 pts) Consider language $L = \{0^i 1^j \mid 0 \leq 2i \leq j\}$.

(a) (5 pts) Give a context-free grammar for L .

Sol: $S \rightarrow 0S11 \mid S1 \mid \epsilon$

(b) (5 pts) Give a PDA for L . Draw the diagram of the PDA.



(10) (10 pts) Consider the following CFG G in CNF.

$$S \rightarrow AB \mid BC$$

$$A \rightarrow BA \mid a$$

$$B \rightarrow CC \mid b$$

$$C \rightarrow AB \mid a$$

Use CYK algorithm to decide whether string $baaba$ is in $L(G)$ or not. Complete the following Table.

| | | | | | |
|-----------|-----------|-----------|------------|-----------|------------|
| $X_{1,5}$ | | | | | |
| $X_{1,4}$ | $X_{2,5}$ | | | | |
| $X_{1,3}$ | $X_{2,4}$ | $X_{3,5}$ | | | |
| $X_{1,2}$ | $X_{2,3}$ | $X_{3,4}$ | $X_{4,5}$ | | |
| $X_{1,1}$ | $\{B\}$ | $X_{2,2}$ | $\{A, C\}$ | $X_{3,3}$ | $\{A, C\}$ |
| | b | a | a | b | a |

Recall that $X_{i,j} = \{A \mid A \xRightarrow{*} w_{i,j}\}$, where $w_{i,j}$ is the substring from positions i to j . For example, for string $baaba$, $w_{1,1} = b$ and $w_{2,4} = aab$.

| | | | | | |
|---------------|----------------------|------------|------------|------------|----------|
| $\{S, A, C\}$ | $\leftarrow X_{1,5}$ | | | | |
| \emptyset | $\{S, A, C\}$ | | | | |
| \emptyset | $\{B\}$ | $\{B\}$ | | | |
| $\{S, A\}$ | $\{B\}$ | $\{S, C\}$ | $\{S, A\}$ | | |
| $\{B\}$ | $\{A, C\}$ | $\{A, C\}$ | $\{B\}$ | $\{A, C\}$ | |
| | b | a | a | b | a |