

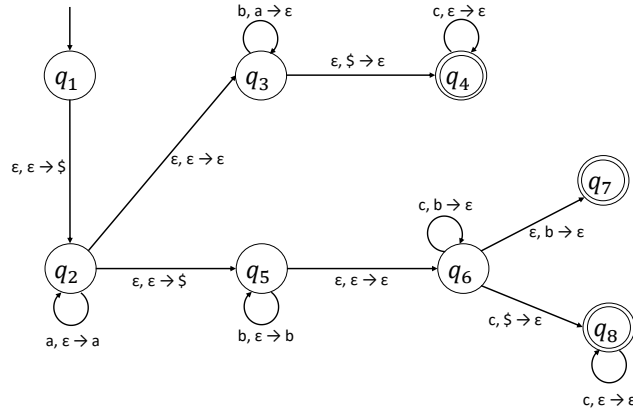
# Theory of Computation

Spring 2024, Homework # 2 Reference Solutions

1. (a) Let  $L = L_1 \cup L_2$  where  $L_1 = \{a^n b^m c^k \mid n = m\}$ ,  $L_2 = \{a^n b^m c^k \mid m \neq k\}$ . Let  $G = \{V, \Sigma, R, S\}$  be the context-free grammar of  $L$ , where  $R$  is

$$\begin{aligned}
 S &\rightarrow S_1 \mid S_2 \\
 S_1 &\rightarrow M_1 K && (S_1 \text{ is for } L_1.) \\
 M_1 &\rightarrow a M_1 b \mid \epsilon && (\text{This ensures that } n = m \geq 0.) \\
 K &\rightarrow c K \mid \epsilon && (\text{This ensures that } k \geq 0.) \\
 S_2 &\rightarrow N M_2 && (S_2 \text{ is for } L_2.) \\
 N &\rightarrow a N \mid \epsilon && (\text{This ensures that } n \geq 0.) \\
 M_2 &\rightarrow b M_2 c \mid B \mid C && (\text{This ensures that either } m > k \text{ or } m < k.) \\
 B &\rightarrow b B \mid b && (\text{This ensures that } m \geq 1.) \\
 C &\rightarrow C c \mid c && (\text{This ensures that } k \geq 1.)
 \end{aligned}$$

- (b) The PDA follows acceptance by *Final State*. So it doesn't require the stack to be empty upon accepting the input.



2. Assume that  $C$  is context-free. Let  $p$  be the pumping length and  $s = a^p b^p c^p d^p \in C$ . Consider a partition  $s = uvxyz$  with  $|vxy| \leq p$  and  $|vy| > 0$ . Consider the following cases:

- If  $v$  contains at least one  $a$ , then  $y$  must not contain any  $c$ 's (since  $|vxy| \leq p$ ). Therefore  $uv^2xy^2z \notin C$  since it has more  $a$ 's than  $c$ 's.
- If  $v$  contains at least one  $b$ , then similar to the previous case,  $y$  must not contain any  $d$ 's and hence  $uv^2xy^2z \notin C$ .
- If  $v$  contains only  $c$  and/or  $d$ , then  $uxz \notin C$  since it has fewer than  $p$   $c$ 's (and/or  $d$ 's) while the number of  $a$ 's and  $b$ 's stay the same (i.e.,  $p$ ).
- $|v| = 0$ . Since  $|xy| \leq p$ ,  $y$  cannot contain more than 2 types of symbols. If  $y$  contains only one type of symbols, then  $uxz \notin C$ . If  $y$  contains two types of symbols, it still cannot contain  $a$  and  $c$  (or  $b$  and  $d$ , respectively) at the same time, so  $uxz \notin C$ .

So we've shown that pumping lemma doesn't hold for  $C$ . Hence  $C$  is not context-free.

3. Denote the shuffle operation as  $\parallel$ . Let  $L_1 = \{a^n c^n \mid n \geq 0\}$ ,  $L_2 = \{b^n d^n \mid n \geq 0\}$ , and  $L_3 = a^* b^* c^* d^*$ . So  $L_1$  and  $L_2$  are CFL's while  $L_3$  is regular. If  $L = L_1 \parallel L_2$  is context-free, then  $L_4 = L \cap L_3$  is also context-free since the intersection of a CFL with a regular language is always a CFL (see p. 60 of Chapter 2 lecture notes). However,  $L_4 = L \cap L_3 = \{a^n b^m c^n d^m \mid m, n \geq 0\}$  is not context-free (according to Q2). A contradiction. Therefore  $L$  is not context-free, which means the class of CFLs is not closed under *shuffle*.

4. Let  $P = \{Q, \Sigma, \Gamma, \delta, q_0, F\}$  be a PDA, where

- $Q = Q_1 \cup Q_2 \cup \{q_0, f\}$ , assume  $Q_1 \cap Q_2 = \emptyset$  and  $q_0, f \notin (Q_1 \cup Q_2)$ ;
- $\Gamma = \{\perp, a\}$ ;
- $F = \{f\}$ ;
- $\delta$  is defined as following:

$$\delta(q_0, \epsilon, \epsilon) = (q_{01}, \perp) \tag{1}$$

$$\delta(p, \sigma, \epsilon) = (q, a) \quad \text{if } \delta_1(p, \sigma) = q \tag{2}$$

$$\delta(p, \sigma, a) = (q, \epsilon) \quad \text{if } p \in F_1 \text{ and } \delta_2(q_{02}, \sigma) = q \tag{3}$$

$$\delta(p, \sigma, a) = (q, \epsilon) \quad \text{if } \delta_2(p, \sigma) = q \tag{4}$$

$$\delta(p, \epsilon, \perp) = (f, \epsilon) \quad \text{if } p \in F_2 \tag{5}$$

First we prove that  $w \in L(P) \implies w \in A \diamond B$ . Let  $w = w_1 w_2 \in L(P)$ , where  $P$  executes (3) upon reading the first symbol in  $w_2$ . According to the construct of  $P$ ,  $w_1 \in L(M_1) = A$ ,  $w_2 \in L(M_2) = B$ , and  $|w_1| = |w_2|$ . So  $L(P) \subseteq A \diamond B$ .

Then we prove that  $w \in A \diamond B \implies w \in L(P)$ . Consider  $xy \in A \diamond B$  where  $|x| = |y|$ . Since  $x \in A$ ,  $\delta_1^*(q_{01}, x) = p_1 \in F_1$ . So  $P$  executes (3) upon reading the first symbol in  $y$ . (*Note that this is only possible because  $P$  is nondeterministic.*) Since  $y \in B$ ,  $\delta_2^*(q_{02}, y) = p_2 \in F_2$ . So after reading  $y$ ,  $P$  executes (5) and enters  $f$ . This means  $P$  accepts  $xy$  so  $A \diamond B \subseteq L(P)$ . This proves that  $L(P) = A \diamond B$ , i.e.,  $P$  accepts  $A \diamond B$ .

5. Following are the step-by-step conversion.

(a) Introduce the new start variable  $S_0$  and a new rule  $S_0 \rightarrow S$ . So the CFG becomes:

$$\begin{aligned} S_0 &\rightarrow S \\ S &\rightarrow BSB \mid B \mid \epsilon \\ B &\rightarrow 00 \mid \epsilon \end{aligned}$$

(b) Remove  $\epsilon$  rules:

- Removing  $B \rightarrow \epsilon$ . So the CFG becomes:

$$\begin{aligned} S_0 &\rightarrow S \\ S &\rightarrow BSB \mid BS \mid SB \mid S \mid B \mid \epsilon \\ B &\rightarrow 00 \end{aligned}$$

- Removing  $S \rightarrow \epsilon$ . So the CFG becomes:

$$\begin{aligned} S_0 &\rightarrow S \mid \epsilon \\ S &\rightarrow BSB \mid BB \mid BS \mid SB \mid S \mid B \\ B &\rightarrow 00 \end{aligned}$$

(c) Remove unit rules:

- Removing  $S \rightarrow S$ . So the CFG becomes:  
 $S_0 \rightarrow S \mid \epsilon$   
 $S \rightarrow BSB \mid BB \mid BS \mid SB \mid B$   
 $B \rightarrow 00$
- Removing  $S \rightarrow B$ . So the CFG becomes:  
 $S_0 \rightarrow S \mid \epsilon$   
 $S \rightarrow BSB \mid BB \mid BS \mid SB \mid 00$   
 $B \rightarrow 00$
- Removing  $S_0 \rightarrow S$ . So the CFG becomes:  
 $S_0 \rightarrow BSB \mid BB \mid BS \mid SB \mid 00 \mid \epsilon$   
 $S \rightarrow BSB \mid BB \mid BS \mid SB \mid 00$   
 $B \rightarrow 00$

(d) Replace ill-placed terminals 0 by a new variable  $U$  with a new rule  $U \rightarrow 0$ . So the CFG becomes:

$$\begin{aligned} S_0 &\rightarrow BSB \mid BB \mid BS \mid SB \mid UU \mid \epsilon \\ S &\rightarrow BSB \mid BB \mid BS \mid SB \mid UU \\ B &\rightarrow UU \\ U &\rightarrow 0 \end{aligned}$$

(e) Shorten the rules where RHS contains more than two variables by introducing new variables. So the CFG (the final version) becomes:

$$\begin{aligned} S_0 &\rightarrow BA_1 \mid BB \mid BS \mid SB \mid UU \mid \epsilon \\ S &\rightarrow BA_1 \mid BB \mid BS \mid SB \mid UU \\ B &\rightarrow UU \\ U &\rightarrow 0 \\ A_1 &\rightarrow SB \end{aligned}$$

Note that for the final CFG in Chomsky normal form, the start variable is  $S_0$  and the set of variables is  $V = \{S_0, S, B, U, A_1\}$ .