### Decision vs. Search Problems



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### NP-Intermediate Problem

For  $P \stackrel{?}{=} NP$  question, which of the following three is most likely?



### Theorem 1 (Ladner 1975)

*If*  $P \neq NP$  then there is a language in NP that is neither in P not *NP-complete.*

- Ladner's theorem gives an "artificial" problem between *P* and *NP*.
- Possible candidates include Graph Isomorphism, Total search problems (Factoring, Nash equilibrium c[om](#page-0-0)[pu](#page-2-0)[t](#page-0-0)[at](#page-1-0)[io](#page-2-0)[n,](#page-1-0) [a](#page-8-0)[n](#page-0-0)[d](#page-1-0) [o](#page-8-0)[th](#page-0-0)[ers](#page-8-0))

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- For NP-complete problem SAT, suppose we want to compute a satisfying assignment, not just test for satisfiability
- Given a *SAT*-oracle, do the following. For  $\phi$  over variables  $x_1, ..., x_n$ , check if  $\phi$  is satisfiable, if so, try  $\phi$ with  $x_1$  assigned to 0; otherwise, assign 1 to  $x_1$ , then proceed to  $x_2$ etc.
- In a sense, computing a satisfying assignment (a search problem) is no harder than checking SAT (a decision problem).
- Subsequently, we shall see Complexity class *FNP*: functions checkable in poly-time.
	- $\triangleright$  FSAT is FNP-complete
	- $\blacktriangleright$  HOw about function versions of other NP-complete problems?

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# Polynomial-time Checkable Relations

- **FNP**: *NP search problem* (a.k.a. function computation problem) is a binary relation *R*(., .) such that
	- $\blacktriangleright$  *R*(*x*, *y*) is checkable in time polynomial in |*x*| and |*y*|
	- **P** given input *x*, the goal is to find *y* with  $R(x, y)$  (*y* is regarded as a certificate)
- **TFNP**: *Total search problem:* Search problem defined for all inputs, i.e.,

$$
\forall x \exists y (|y| = poly(|x|), R(x, y))
$$

Examples include

- $\blacktriangleright$  Local-max-cut:
- Factoring: input a number  $N$ , output the prime factorization of  $N$ .
- $\triangleright$  Nash: the problem of computing a Nash equilibrium of a game
- $\blacktriangleright$  ...
- **FP**: there exists a det. Ptime algorithm solving it. So given input *x*, it returns a solution *y* so that *R*(*x*, *y*) or it states such an *y* does not exist. イロト (個) (注) (注)  $2Q$

# Reducibility for Search Problems

### Definition 2

Let *R* and *S* be search problems in FNP. We say that *R* is many-one reducible to *S*, if there exist polynomial-time computable functions *f*, *g* such that

$$
(f(x), y) \in S \Rightarrow (x, g(x, y)) \in R
$$

#### Theorem 3

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*FSAT (the problem of finding a satisfying assignment of a boolean formula) is FNP-complete.*



- If *S* is polynomial-time solvable, then so is *R*.
- Two problems *R* and *S* are (polynomial-time) equivalent, if *R* reduces to *S* and *S* reduces to *R*.
- SAT: *x* is boolean formula, *y* is satisfying assignment. Decision version of SAT is polynomial-time equivalent to search for *y*.

#### Theorem 4

*There is an FNP-complete problem in TFNP if and only if NP* =  $co - NP$ .

- Factoring (for example) cannot be *NP*-hard unless *NP* = *co* − *NP*. Unlikely! So Factoring is in strong sense "NP-intermediate".
- Other such problems include: Local-Max-Cut, NASH, ...

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# Polynomial Local Search (PLS)

- **Max-Cut**: Find a cut in a weighted graph *G* of maximum size. Decision version is a known NP-complete problem
- Note that **Min-Cut** is solvable in polynomial time.

### **Algorithm**

 $[A, B] \leftarrow$  an arbitrary partition of *V* 

While placing some node *v* to the other side increases the cut weight, move *v* to the other side

**return** [A, B]



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# Generic Local Search Algorithm

Move step by step from current solution to a "nearby" one

### **Algorithm**

*s* ← some initial solution

While a solution *s'* in the neighborhood of *s* is better than *s*: do

 $s \leftarrow s'$ 

**return** *s*



# Key Characteristics of Local Search Algorithm

- Unlike greedy algorithms, we do not require maintaining a feasible solution all the time
	- $\triangleright$  Greedy algorithm typically build the solution bottom-up
- We need to have a solution so we can compute its value in order to determine whether or not to make a move to a neighboring solution
- Easy to design an algorithm
- Generally, No provable guarantees on the quality of the solution
- Can get a local optimum instead of a global optimum
- The larger the neighborhood, the better the resulting solution and the higher the running time

#### Theorem 5

 $FP \subset PLS \subset TFNP \subset FNP$ .

Other Local Search Algorithm: Gradient Descent, Simulated Annealing, ...



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