

# Theory of Computation

Fall 2018, Midterm Exam.

Nov. 13, 2018

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1. (15 pts) True or False? Justify your answer in a brief yet convincing way. No penalty for wrong answers.

(1) Let  $half(L) = \{x \mid \exists y \in \Sigma^*, \text{ with } |y| \in \{|x|, |x| + 1\} \text{ and } xy \in L\}$ . If  $half(L)$  is context-free, then  $L$  must be context-free.

**Sol. False.**  $\{a^n b^{2n} c^n \mid n \geq 0\}$

(2) Suppose  $L_1$  is a regular language, then  $L_2 = \{ww^R \mid w \in L_1\}$  is always a context-free language.

**Sol. True.** Let  $M$  be an FA accepting  $L_1$ . Design a PDA  $M'$  that simulates (nondeterministically)  $M$  on the first half  $w$  of the input while pushing  $w$  onto the stack. Then use the stack to compare with the rest (i.e.,  $w^R$ ) of the input.

(3) The language  $a^*b^*c^* - \{a^n b^n c^n \mid n \geq 0\}$  is context-free.

**Sol. True.** Check (nondeterministically)  $(\#a \neq \#b)$  or  $(\#a \neq \#c)$  or  $(\#b \neq \#c)$  using a stack.

(4) Let  $L$  be a language and  $h$  a homomorphism. If  $h(L)$  is regular, then  $L$  must be regular.

**Sol. False.**  $h(a) = \epsilon$ .  $h(\{a^{n^2}\}) = \epsilon$ .

(5) Suppose  $\Sigma = \{0, 1\}$ , and let  $sort(x)$  be the function that reorders the symbols in  $x$  in numerical order. Let  $sort(L) = \{sort(x) \mid x \in L\}$ . For example, if  $L = \{0, 1, 01, 10, 101, 0110\}$ , then  $sort(L) = \{0, 1, 01, 011, 0011\}$ . Regular languages are closed under sort.

**Sol. False.** Consider  $L = \{(01)^n \mid n \geq 0\}$ .  $Sort(L) = \{0^n 1^n \mid n \geq 0\}$ .

2. (10 pts) Recall that the  $R_L$  relation (over  $\Sigma^*$ ) associated with language  $L$  is defined to be  $xR_L y$  iff  $\forall z \in \Sigma^*, xz \in L \Leftrightarrow yz \in L$ . For language  $L = \{x \in \{0, 1\}^* \mid x = w c w^R, |c| > 0, |w| > 0\}$ , does  $R_L$  have infinitely many or finitely many distinct equivalence classes? In the former case, you need not describe all equivalence classes of  $R_L$ , just show that there are infinitely many of them. In the latter case, enumerate all the classes. (Note: An equivalence class  $C$  is represented as  $[w]$ , where  $w$  is the shortest word in  $C$ .)

**Sol.** Since  $c$  can be an arbitrary string of length  $> 0$ , every  $w \in L$  is of the form  $0c0$  or  $1c1$  for some  $|c| > 0$ .  $R_L$  includes  $\{\epsilon\}$ ;  $\{0\}$ ;  $\{1\}$ ;  $\{00, 01, 0c1 \mid c \in \Sigma^+\}$ ;  $\{10, 11, 1c0 \mid c \in \Sigma^+\}$ ;  $\{0c0 \mid c \in \Sigma^+\}$ ;  $\{1c1 \mid c \in \Sigma^+\}$

3. (10 pts) Prove that the following language is not regular by applying the pumping lemma.

$L = \{a^i b^j \mid i, j \geq 1, (i \geq j) \text{ or } (i < j \text{ and } j \text{ is a multiple of } i)\}$

**Sol.** Let  $z = a^{3k} b^{3k}$ . Suppose  $a^{3k} b^{3k} = uvw$ , such that  $|uv| \leq k, |v| > 0$ . Since  $|uv| \leq k, v$  contains only  $a$ 's. Let  $v = a^m, 1 \leq m \leq k$ .  $uv^0 w = a^{3k-m} b^{3k}$  is not in the language as  $3k$  is not a multiple of  $3k - m$ .

4. (10 pts) Design an algorithm to decide, given a CFG  $G = (V, \Sigma, P, S)$  in Chomsky Normal Form, whether exists an  $x \in L(G)$  such that  $|x|$  is even.

**Hint:** create a set  $\Delta$  (which is empty initially) and assign  $A_{ev}$  and  $A_{od}$  for each  $A \in V$  to  $\Delta$  iteratively such that for some  $w$ ,

- $A_{ev} \in \Delta$  if  $A \xRightarrow{*} w$  and  $|w|$  is even; and
- $A_{od} \in \Delta$  if  $A \xRightarrow{*} w$  and  $|w|$  is odd.

**Sol.** Initially,  $\Delta = \emptyset$

For each  $A \Rightarrow a$ , add  $A_{od}$  to  $\Delta$

For each  $A \Rightarrow \epsilon$ , add  $A_{ev}$  to  $\Delta$

Repeat the following until  $\Delta$  does not grow

For each  $A \rightarrow BC$

- $B_{ev}, C_{ev} \in \Delta$ , add  $A_{ev}$  to  $\Delta$
- $B_{ev}, C_{od} \in \Delta$ , add  $A_{od}$  to  $\Delta$
- $B_{od}, C_{ev} \in \Delta$ , add  $A_{od}$  to  $\Delta$
- $B_{od}, C_{od} \in \Delta$ , add  $A_{ev}$  to  $\Delta$

5. (5 pts) Consider the following language over the alphabet  $\Sigma = \{a, b, c\}$ .  $L = \{a^n b^p (c+b)^{n-p} \mid 1 \leq n, 1 \leq p \leq n\}$ , where  $(c+b)^{n-p}$  means a sequence of  $n-p$  symbols from the set  $\{c, b\}$ . Prove that  $L$  is not regular using the closure properties of regular languages. Do not use the pumping lemma.

**Sol.**  $h(a) = a; h(b) = b; h(c) = b$ .  $h(L) = \{a^n b^n \mid n \geq 1\}$

6. (6 pts) The quotient  $L_1/L_2$  of two languages  $L_1$  and  $L_2$  is defined as  $L_1/L_2 = \{x \mid \exists y \in L_2, xy \in L_1\}$ . Let  $L_1 = \{w \in \{0, 1\}^* \mid w \text{ has an even number of } 0\text{'s}\}$ ,  $L_2 = \{0\}$ ,  $L_3 = \{0, 00\}$ . Answer the following questions:

- (a) What is  $L_1/L_2$ ?  
 (b) What is  $L_1/L_3$ ?

**Sol.**  $L_1/L_2 = \{w \in \{0, 1\}^* \mid w \text{ has an odd number of } 0\text{'s}\}$ ,  $L_1/L_3 = \{0, 1\}^*$ .

7. (10 pts) The following  $\{0, 1, 2, 3\}$ -valued function  $F$  for regular expressions is defined recursively as follows:

- $F(\emptyset) = 0$ ,  $F(\epsilon) = 1$ ;
- $F(a) = 2$ , for each  $a \in \Sigma$ ,
- $F(\sigma \cup \tau) = \max\{F(\sigma), F(\tau)\}$ ;
- If  $F(\sigma) = 0$  or  $F(\tau) = 0$  then  $F(\sigma \cdot \tau) = 0$  else  $F(\sigma \cdot \tau) = \max\{F(\sigma), F(\tau)\}$ ;
- If  $F(\sigma) \leq 1$  then  $F(\sigma^*) = 1$  else  $F(\sigma^*) = 3$ .

Answer the following questions, and justify your answers:

- (a) What is  $F(((00 \cup 11)^* \cdot \emptyset) \cup (11 \cup 22))$ ? Why?

**Sol.**  $F(((00 \cup 11)^* \cdot \emptyset) \cup (11 \cup 22)) = \max\{F(((00 \cup 11)^* \cdot \emptyset), F(11 \cup 22))\} = \max\{0, 2\} = 2$ .

- (b) What kind of regular expressions would make  $F(\sigma) = 3$ ? Why?

**Sol.**  $L(\sigma)$  is an infinite set.

8. (9 pts) Is the language  $\{x \in \{a, b\}^* \mid |x| \text{ is even and the first half of } x \text{ has one more "a" than does the second half}\}$  context-free? Justify your answer formally.

**Sol.** Not context-free. If  $L$  were context-free, then  $L' = L \cap a^* b^* a^* b^*$  would also be context-free. But it is not, which we show using the Pumping Theorem. Consider  $w = a^{k+1} b^k a^k b^{k+1}$ , where  $k$  is the pumping constant. Suppose  $w = a^{k+1} b^k a^k b^{k+1} = uvxyz$ . Divide  $w$  into four regions ( $a$ 's, then  $b$ 's, then  $a$ 's, then  $b$ 's). Consider the following cases:

- If either  $v$  or  $y$  crosses a region boundary, pump in. The resulting string is not in  $L$  because the characters will be out of order.
- If  $|vy|$  is not even, pump in once. The resulting string will not be in  $L$  because it will have odd length.
- Now we consider all the cases in which neither  $v$  nor  $y$  crosses regions and  $|vy|$  is even. In what follows, case  $(a, b)$  denote that  $v$  in region  $a$  and  $y$  in region  $b$ .

(1, 1) pump in once. The boundary between the first half and the second half shifts to the left. Hence, the first half has more  $a$ 's.

(2, 2) pump out. Since  $|vy|$  is even, we pump out at least 2  $b$ 's so at least one  $a$  migrates from the second half to the first.

(3, 3) pump out. This decreases the number of  $a$ 's in the second half. Only  $b$ 's migrate in from the first half.

(4, 4) pump in once. The boundary between the first half and the second half shifts to the right, causing  $a$ 's to flow from the second half into the first half.

... the remaining cases can be argued similarly.

9. (10 pts) Let  $Half(L) = \{x \mid \exists y \in \Sigma^*, |x| = |y|, xy \in L\}$ . Consider  $L = \{0^i 1^j 2^j 3^{3i} \mid i, j \geq 1\} (\subseteq \{0, 1, 2, 3\}^*)$ . Answer the following questions:

- (a) (3 pts) Is  $L$  context-free? Why?

**Sol.** Yes.

- (b) (3 pts) What is  $Half(L)$ ?

**Sol.**  $\{0^i 1^j 2^i \mid j \geq i\} \cup \{0^i 1^j 2^j 3^{i-j} \mid j < i\}$

(c) (4 pts) Is  $Half(L)$  a CFL? Why?

**Sol.**  $Half(L) \cap 0^*1^*2^* = \{0^n1^m2^n \mid m, n \geq 0, m \geq n\}$  – not context-free

10. (15 pts) Consider the binary operator  $\diamond$  on languages defined as follows: given two languages  $L_1$  and  $L_2$  over  $\Sigma$ ,  $L_1 \diamond L_2$  consists of words of the form  $uv$  such that  $u \in L_1$ ,  $v \in L_2$ , and  $|u| = |v|$ .

(a) (10 pts) Prove that if  $L_1$  and  $L_2$  are regular languages, then  $L_1 \diamond L_2$  is a CFL. To this end, Let  $M_i = (Q_i, \Sigma, \delta_i, q_i, F_i)$  be an FA accepting  $L_i$ ,  $i \in \{1, 2\}$ . Construct a PDA  $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$  to accept  $L_1 \diamond L_2$ . Show your construction in detail.

**Sol.** the set of states of  $M$  is  $Q_1 \cup Q_2 \cup \{f\}$ . The set of stack symbols is  $\{Z, Z_0\}$ . The initial state of  $M$  is  $q_1$ . For  $q \in Q_1$  and a symbol  $a$  and a stack symbol  $X$ ,  $M$  contains a transition  $(\delta_1(q, a), ZX) \in \delta(q, a, X)$ . For  $q \in F_1$  and a stack symbol  $X$ ,  $M$  contains a transition  $(q_2, X) \in \delta(q, \epsilon, X)$ . For  $q \in Q_2$  and a symbol  $a$ ,  $M$  contains a transition  $(\delta_2(q, a), \epsilon) \in \delta(q, a, X)$ . For  $q \in F_2$ ,  $M$  contains a transition  $(f, \epsilon) \in \delta(q, \epsilon, Z_0)$ .

(b) (5 pts) Give examples to show that if  $L_1$  is a regular language and  $L_2$  is a CFL then  $L_1 \diamond L_2$  need NOT be a CFL.

**Sol.**  $L_1 = a^*$ ;  $L_2 = \{b^n c^n \mid n \geq 0\}$ . Then  $L_1 \diamond L_2 = \{a^{2n} b^n c^n \mid n \geq 0\}$  – not context-free.