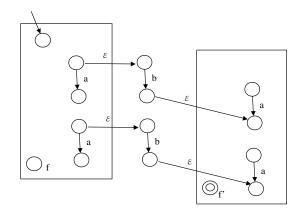
## Theory of Computation Fall 2016, Midterm Exam.

## Due: Nov. 14, 2016

- 1. (20 pts) True or False? (Score=max $\{0, Right \frac{1}{2}Wrong\}$ .)
  - (1) If A, B and C are languages, then  $A \cdot (B \cap C) = A \cdot B \cap A \cdot C$ . Solution: × E.g.  $\{1, 11\}(\{1\} \cap \{11\}) = \emptyset$  but  $\{1, 11\}\{1\} \cap \{1, 11\}\{11\} = \{111\}$ .
  - (2) The set  $\{a^m b^n c^n d^m | m, n \ge 0\}$  is not context-free. Solution:  $\times$
  - (3) If A is not context-free, then the complement of A is also not context-free. Solution:  $\times$
  - (4) For any language A,  $(A^*)^* = A^*$ Solution:  $\bigcirc$
  - (5) If A is a language, then  $A^2 \subseteq A$  implies  $A = A^+$ . Solution:  $\bigcirc$
  - (6)  $(a \cup b)^* = (a^*b^*)^*$ . Solution:  $\bigcirc$
  - (7) Not all finite languages are regular.Solution: ×
  - (8) If L is nonregular and both of L' and  $L \cap L'$  are regular, then  $L \cup L'$  is nonregular. Solution:  $\bigcirc$  because  $((L \cup L') - L') \cup (L \cap L') = L$ .
  - (9) Given a PDA A and an NFA B, there is an algorithm to decide whether  $L(A) \cap L(B) = \emptyset$ . Solution:  $\bigcirc$
  - (10) There is a non-context-free language L such that  $L^*$  is regular. Solution:  $\bigcirc$  E.g.  $L = \{0^p \mid p \text{ is prime }\}.$
- 2. (10 pts) Let Subst-One-Char $(w, a, b) = \{x \mid w \text{ can be written as } w = uav \text{ and } x = ubv\}$ , where  $u, v \in \Sigma^*$  and  $a, b \in \Sigma$ . This is the set of strings obtained by replacing an arbitrary one of the a's in w by a b. E.g. Subst-One-Char $(cacac, a, b) = \{cbcac, cacbc\}$ . Let Subst-One-Char $(L, a, b) = \{x \mid x \in \text{Subst-One-Char}(w, a, b) \text{ for some } w \in L\}$ . Prove that if L is regular, then so is Subst-One-Char(L, a, b).

Solution: Consider the following construction



3. (10 pts) Prove that at most  $k^{2k+1}2^k$  languages over the binary alphabet  $\{0,1\}$  can be recognized by a DFA with k states.

**Solution:** Simply count the number of distinct DFAs with k states. Name the states  $1, 2, 3, \dots, k$ . Then a DFA is a tuple  $(\{1, 2, ..., k\}, \{0, 1\}, \delta, q_0, F)$  where  $q_0 \in \{1, 2, ..., k\}, F \subseteq \{1, 2, ..., k\}, \delta : \{1, 2, ..., k\} \times \{0, 1\} \rightarrow \{1, 2, ..., k\}$ . Thus, the number of distinct ways to choose  $(q_0, F, \delta)$  is  $k \times 2^k \times k^{2k}$ .

4. (10 pts) Let L be a nonempty language in which the shortest string has length k. Prove that L cannot be recognized by a DFA with fewer than k + 1 states. Solution:

(**Proof 1):** Let  $q_0 \xrightarrow{w_1} q_1 \xrightarrow{w_2} q_2 \cdots \xrightarrow{w_k} q_{k+1}$  be an accepting computation. Argue that all the  $q_1, q_2, \cdots, q_{k+1}$  are distinct, for if  $q_i = q_j, i \neq j$ , then  $w_1 w_2 \dots w_k$  is not the shortest string in the language.

(Proof 2): Take any string  $w = w_1 w_2 \cdots w_k$  in L. We claim that the k + 1 strings  $\epsilon$ ,  $w_1$ ,  $w_1 w_2$ ,  $w_1 w_2 w_3$ ,  $\cdots$ ,  $w_1 w_2 w_3 \cdots w_k$  are each in a different equivalence class of  $\equiv_L$ . Indeed, for any i < j,  $(w_1 w_2 \cdots w_k)(w_{j+1} \cdots w_k) \notin L$ ;

 $(w_1w_2\cdots w_j)(w_{j+1}\cdots w_k)\in L,$ 

where the first line holds because that string is shorter than k. Since  $\equiv_L$  has at least k + 1 equivalence classes, the Myhill-Nerode theorem implies that any DFA for L must have at least k + 1 states.

5. (10 pts) Let  $L_1 \subseteq (a \cup b)^*$  be a set of strings. In each string in  $L_1$ , delete every *b* immediately following an *a* to get the set  $L_2$ . For instance, if  $L_1 = \{aabba, aa\}$ , then  $L_2 = \{aaba, aa\}$ . You are asked to define two homomorphisms  $h_1, h_2 : \{a, b, \hat{b}\}^* \to \{a, b\}^*$ ), and write an expression for  $L_2$  in terms of  $h_1, h_2, h_1^{-1}, h_2^{-1}, R, L_1$ , for some regular expression *R*. Explain why your answer is correct.

**Solution:** Let  $h_1(a) = a, h_1(b) = b, h_1(\hat{b}) = b, h_2(a) = a, h_2(b) = b, h_2(\hat{b}) = \epsilon$ . Then

$$L_2 = h_2(h_1^{-1}(L_1) \cap b^*(a \cup a\hat{b}b^*)^*)$$

6. (10 pts) Consider the language L defined by the regular expression  $(a^* \cup ba)^*$ . Describe the equivalence classes of  $\{a, b\}^*$  w.r.t. the Myhill-Nerode relation  $\equiv_L$  defined by:

 $x_1 \equiv_L x_2 \Leftrightarrow \forall y \in \Sigma^*, (x_1 \cdot y \in L \Leftrightarrow x_2 \cdot y \in L)$ 

Present these equivalence classes through regular expressions. Use  $\equiv_L$  to construct a minimal automaton  $M_{\equiv_L}$  for the language L, and draw the graph of the automaton. (Hint: choose equivalence classes from the following  $a^*$ ,  $b^*$ ,  $(a \cup b)^*$ ,  $(ab)^*$ ,  $(a \cup ba)^*$ ,  $(ab \cup b)^*$ ,  $(ab \cup b)^*$ ,  $(ab \cup b)^*$ ,  $(ab \cup ba)^*$ ,  $(a \cup ba)^*a$ ,  $(a \cup ba)^*bb(a \cup b)^*$ ,  $(a \cup ba)^*aa(a \cup b)^*$ ,  $(a \cup ba)^*bb(a \cup b)^*$ .) Solution: The automaton is

•  $Q = \{\{(a \cup ba)^*, (a \cup ba)^*b, (a \cup ba)^*bb(a \cup b)^*\}\}$ 

• 
$$\delta((a \cup ba)^*, a) = (a \cup ba)^*$$
  
 $\delta((a \cup ba)^*, b) = (a \cup ba)^*b$   
 $\delta((a \cup ba)^*b, a) = (a \cup ba)^*$   
 $\delta((a \cup ba)^*b, b) = (a \cup ba)^*bb(a \cup b)^*$   
 $\delta((a \cup ba)^*bb(a \cup b)^*, a) = (a \cup ba)^*bb(a \cup b)^*$   
 $\delta((a \cup ba)^*bb(a \cup b)^*, b) = (a \cup ba)^*bb(a \cup b)^*$ 

• 
$$q_0 = (a \cup ba)^*$$

Without using a rigorous method to construct the set of states, you may try the sequence of strings  $\epsilon, a, b, aa, ab, ba, bb, ...$  to find out the equivalence classes. Also note that among  $a^*$ ,  $b^*$ ,  $(a \cup b)^*$ ,  $(ab)^*$ ,  $(a \cup ba)^*$ ,  $(ab \cup b)^*$ ,  $(ab \cup ba)^*$ , only one may appear in the final result as all of them contain  $\epsilon$ . Also  $(a \cup ba)^*a$  and  $(a \cup ba)^*aa(a \cup b)^*$  both contain aa, and  $(a \cup ba)^*b$  and  $(a \cup ba)^*bab(a \cup b)^*$  both contain bab.

- 7. (10 pts) Give a right-linear grammar for the following regular language:  $(00 \cup 1)^*$ . Show your work in sufficient detail. Solution: Let  $G = (\{S, X\}, \{0, 1\}, \{S \to 0X \mid 1S \mid \epsilon; X \to 0S\}, S)$
- 8. (10 pts) Is the language  $\{a^{2^n} \mid n \ge 0\}$  context-free? Prove your answer. Solution: Let p be the pumping constant. According to the pumping lemma,  $a^{2p} = uvxyz$ with  $|vy| \le p$ . Then  $|uv^2xy^2z| > 2^p$  and  $|uv^2xy^2z| \le 2^p + p < 2^p + 2^p = 2^{p+1}$ . Hence,  $uv^2xy^2z$ has length strictly between  $2^p$  and  $2^{p+1}$ , and therefore, the string cannot be in L.
- 9. (10 pts) A context-free grammar is called a *linear context-free grammar* if its production is of the following form  $X \to aY$ ,  $X \to Zb$ ,  $X \to c \mid \epsilon$  (where X, Y, Z are nonterminals and a, b, c are terminals), i.e., the right-hand side of a production contain at most one nonterminal. Give a pumping lemma for linear context-free languages. Show why your statement is correct. **Solution:** Pumping lemma for linear context-free languages.

Let L be a linear context-free language. Then there exists some positive integer m such that any  $w \in L$  with  $|w| \ge m$  can be decomposed as w = uvxyz, such that

- $|uvyz| \leq m$ ,
- $|vy| \ge 1$ ,
- $uv^i xy^i z \in L$ , for all  $i \ge 0$

Proof: see the following figure.

