## Theory of Computation

Spring 2020, Final Exam (Solutions)

## June 23, 2020

- 1. (20 pts) True or False? No penalty for wrong answers. (Note that  $\leq_P$  stands for polynomial-time many-one reduction and  $\leq_m$  stands for many-one reduction.)
	- (1) The language  $\{a^i b^j c^i \mid i \leq j \leq 2i\}$  is not context-free. Sol. O
	- (2) The class of non-context-free languages is closed under complementation. Sol.  $\times$

 $\{ww | w \in \{0,1\}^*\}$  is non-context-free, but its complement is CFL

- (3) If A is Turing-recognizable and  $A \leq_m \overline{A}$ , then A is Turing-decidable. Sol. O
- (4) If  $L_1$  and  $L_2$  are NP-complete, then  $L_1 \leq_P L_2$  and  $L_2 \leq_P L_1$ . Sol. O It follows directly from the definition of NP-completeness.
- (5) If  $L_1$  and  $L_2$  are NP-complete, then  $L_1 \leq_m L_2$  and  $L_2 \leq_m L_1$ . Sol. O Surely, if  $L_1 \leq_P L_2$  then also  $L_1 \leq_m L_2$ .
- (6) If  $L_1 \leq_P L_2$ ,  $L_2 \leq_P L_1$ , and  $L_1, L_2 \in NP$ , then  $L_1$  and  $L_2$  are both NP-complete. Sol.  $\times$ Let  $L_1 = L_2 = \emptyset$ . Surely  $\emptyset \leq_P \emptyset$  by a reduction that is e.g. the identity function but  $\emptyset$  cannot be NP-complete (because none of the languages in NP, except for  $\emptyset$  itself, are reducible to  $\emptyset$ ).
- (7) If  $L_1$  is NP-complete and  $L_1 \leq_P L_2$ , then  $L_2$  is NP-complete. Sol.  $\times$ We only know that  $L_2$  is NP-hard.
- (8) NP is the class of languages that cannot be decided in polynomial time using deterministic Turing machines. Sol.  $\times$
- (9) co-NP  $\subseteq$  EXPTIME. Sol. O
- (10) If  $L \in \mathcal{P}$ , then  $L^* \in \mathcal{P}$  as well. Sol. O
- (11)  $L = \{ \langle M, w \rangle \mid M \text{ accepts } w \text{ in } \text{less than } 100 \text{ steps} \}$  is decidable. Sol. O
- (12) If A is recursive and  $A \leq_{P} B$ , then B must be recursive. Sol.  $\times$
- (13) The problem of determining if a context-free grammar generates the empty language is undecidable. Sol.  $\times$
- (14) The class of Turing-recognizable languages is closed under intersection. Sol. O
- (15) The set of Turing-recognizable languages is a countably infinite set (i.e., there exists a one-to-one correspondence between the set and the set of natural numbers). Sol. O
- (16) Suppose  $L_1$  is context-free and  $L_2$  is regular, then the problem of deciding whether  $L_1 \subseteq L_2$  is decidable. Sol. O
- (17) Primitive recursive functions are those that can be computed by Turing machines that always halt. **Sol.**  $\times$  Ackermann function is a total recursive function which is not primitive recursive.
- (18) It is possible for some undecidable language to be NP-Complete. Sol. ×

(19) The language  $L = \{ \langle M, w \rangle \mid \text{TM } M \text{ moves right exactly twice while operating on } w \}$  is decidable. Sol. O

If it can move right only twice, then M can read only the first two input characters.

- (20)  $NSPACE(\log^2 n) \subseteq P$ . Sol. O
- 2. (10 pts) Let  $\Sigma = \{a, b\}$ , and consider the language  $A = \{w \in \Sigma^* \mid w = w^R, |w| \text{ is even}\}$ , where  $w^R$  denotes the reverse of w and |w| denotes the length of w. For instance,  $aabbaa \in A$ .
	- (a) Give a CFG G for A. Be sure to specify G as a 4-tuple  $G = (V, \Sigma, R, S)$ . **Sol.**  $S \rightarrow aSa \mid bSb \mid \epsilon$
	- (b) Give a PDA for A. You only need to give the drawing. Sol.



- 3. (10 pts) Consider the following context-free grammar G in Chomsky normal form:
	- $S \to AA$  |  $\epsilon$  $A \rightarrow BB$  |  $AB$  | a  $B \to BA$  | b

In CYK parsing algorithm, given a  $w = a_1 \dots a_n$ , we define  $t_{ij} = \{A \mid A \stackrel{*}{\Rightarrow} a_i \dots a_j\}$ . Fill in the blanks in the following table in the process of parsing  $w = abba$ .





4. (10 pts) Show that if P=NP then every language  $B \in P$ , except for  $\emptyset$  and  $\Sigma^*$ , is NP-complete. (Hint: Show that for every language  $A \in \text{P=NP}, A \leq_P B$ .)

**Sol.** Assume a polynomial-time TM  $M_A$  decides  $A$ .

Assume that P=NP. Let  $B \in P$  such that  $B \neq \emptyset$  and  $B \neq \Sigma^*$ . This means that there is a string  $w_{in} \in B$ and a string  $w_{out} \notin B$ . We want to show that B is NP-complete.

Surely, the language B is in NP=P (by our assumption). We have to show that B is NP-complete. Let A be an arbitrary language from NP=P. Hence A has a polynomial time decider  $M_A$ . We need to argue that  $A \leq_P B$ . Here is a poly-time reduction f from A to B:

"On input w:

1. Run  $M_A$  (decider for A) on w.

If  $M_A$  rejected then output  $w_{out}$ ." 2. If  $M_A$  accepted then output  $w_{in}$ .

This is a poly-time reduction from  $A$  to  $B$ , and hence  $B$  is NP-complete.

5. (12 pts) Consider the following classes of languages as (1)-(7).

(1) Finite, (2) Regular, (3) Context-free, (4) Context-sensitive, (5) Recursive, (6) Recursively enumerable, (7) All possible languages.

For each of the following languages, specify the *lowest-numbered class* to which it surely belongs. For example, for a context-free language L that is not regular, the right answer is  $(3)$ , although L clearly belongs to all classes of languages larger than  $(3)$ . Similarly, suppose L is recursively enumerable, the right answer is  $(6)$ , although  $L$  could possibly be recursive but the available information does not guarantee that.

- (a) .............. The complement of an undecidable language. Sol. 7 Take an r.e. but not recursive language, whose complement is not r.e.
- (b) .............. The complement of a language in NP. Sol. 5;  $NP \subseteq PSPACE$ .  $PSPACE$  is recursive.
- (c) .............. The intersection of two context-free languages. Sol. 4 Context-free languages are also context-sensitive. Context-sensitive languages are closed under intersection.
- (d) .............. The complement of a context-sensitive language. Sol. 4 Context-sensitive language are closed under complementation (due to Immerman theorem).
- (e) .............. The intersection of a recursive language and a language that is not recursively enumerable. **Sol.** 7 Let the recursive language be  $\Sigma^*$ .
- (f) .............. The intersection of a recursive language and a recursively enumerable language. Sol. 6 r.e.  $\cap$  recursive is r.e.
- 6. (10 pts) Consider  $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM with } L(M) = \emptyset \}$ . It is known that  $E_{TM}$  is not recursive. Answer the following question:
	- (a) Is  $E_{TM}$  co-Turing-recognizable? Why? **Sol. Yes.** Design a TM  $M'$  that nondeterministically guesses an input x and simulates  $M$  on x, accepts if M accepts x. Clearly, M' accepts  $\overline{E_{TM}}$ ; hence,  $E_{TM}$  co-Turing-recognizable.
	- (b) Does  $E_{TM} \leq_m A_{TM}$  hold? Why? Recall that  $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts } w \}.$ **Sol.** No. If  $E_{TM} \leq_m A_{TM}$ , then  $E_{TM}$  is Turing-recognizable. This, together with (a) above, implies Tring-decidability of  $E_{TM}$ , which is known to be false.
- 7. (10 pts) Define a two-headed finite automaton (2DFA) to be a deterministic finite automaton that has two read-only, bidirectional (i.e., two-way) heads that start at the left-hand end of the input tape and can be independently controlled to move in either direction. The tape of a 2DFA is finite and is just large enough to contain the input plus two additional blank tape cells, one on the left-hand end and one on the right-hand end, that serve as delimiters (i.e., end-markers). A 2DFA accepts its input by entering a special accept state.
	- (a) Explain in a convincing way how a 2DFA can recognize the language  $\{a^n b^n c^n \mid n \geq 0\}$ . **Sol.** Let the two heads be  $h_1$  and  $h_2$ . Assume that initially both are scanning the first input symbol.
		- i. Move  $h_2$  to the beginning of b, while keeping  $h_1$  intact;
		- ii. Move both  $h_1$  and  $h_2$  to the right if  $h_1$  reads an a and  $h_2$  reads a b; repeat until  $h_1$  sees a b and  $h_2$ sees a c simultaneously, then go to the next step. (This step is to compare the number of as with the number of bs.)
		- iii. Move both  $h_1$  and  $h_2$  to the right if  $h_1$  reads an b and  $h_2$  reads a c; repeat until  $h_1$  sees a c and  $h_2$ sees the right endmarker simultaneously, then accepts. (This step is to compare the number of bs with the number of  $cs.$ )
	- (b) Let  $E_{2DFA} = \{ \langle M \rangle \mid M \text{ is a 2DFA and } L(M) = \emptyset \}$ . Explain in a convincing way how to use the undecidability of PCP to show that  $E_{2DFA}$  is not decidable. (Hint: suppose  $P = \{(x_1, y_1), ..., (x_n, y_n)\}\$ is an instance of a PCP. Can you design a 2DFA M such that  $L(M) \neq \emptyset$  iff P has a match?) **Sol.** Suppose the alphabet of  $P = \{(x_0, y_0), ..., (x_n, y_n)\}\$ is  $\Sigma = \{a, b\}$ . Design a  $E_{2DFA}$  with alphabet
		- $\{0, 1, \#, a, b\}$  which operate in the following way:
		- i. Check if the input w is of the form  $({0,1}^+ \cdot \#)^*{a,b}^*$ ; reject if otherwise. Reset the two heads to the leftmost position.
		- ii. For an input, e.g.,  $0.01\#101\#0\#abbaaabab$ , the  $E_{2DFA}$  accepts if abbaaabab =  $x_3x_5x_0=y_3y_5y_0$ , which can be done by
			- A. using the first head  $h_1$  to read 011, find  $x_3$  (kept in the finite state control of the 2DFA), compare  $x_3$  with the prefix of abbaaabab scanned by the second head  $h_2$ . If successful, repeat the above by letting  $h_1$  read the second index, i.e., 101 in our case, and  $h_2$  compare  $x_5$  with the remainder of the input, and so on ... until the input is completely read. Then reset both heads to the leftmost position, and go to the next step.
			- B. As in the previous step, use  $h_1$  to find the index i and  $h_2$  to check whether  $y_i$  matches the corresponding part in abbaaabab.

Clearly, the 2DFA accepts iff the PCP has a match.

- 8. (10 pts) True or False? Justify your answers.
	- (a) Suppose L is TM-recognizable but not TM-decidable. Then any TM that recognizes L must fail to halt on an infinite number of strings. Sol. True. If not we can imagine a decider for L: first compare input to the elements of that finite set and if it is there reject. Otherwise simulate "recognizer" of  $L$  on the input and return what it returns. Note that it just shows that such a decider exists, it is not a recipe of how to construct it since we don't have a representation of that finite set.
	- (b) Suppose A and B are recursively enumerable languages such that  $A \cup B$  and  $A \cap B$  are both decidable (i.e., recursive). Then A is decidable. Sol. True.

Let  $M_A$  and  $M_B$  be TMs recognizing A and B, respectively. Let  $M_{A\cup B}$  and  $M_{A\cap B}$  be decision procedures for  $A \cup B$  and  $A \cap B$ , respectively. An algorithm for A is as follows.

On input  $x$ Run  $M_{A\cup B}$  on x If  $M_{A\cup B}$  rejects then reject (and halt) else /\*\*\* x is in  $A \cup B$ \*\*\*/ Run  $M_{A\cap B}$  on x If  $M_{A\cap B}$  accepts then accept (and halt) else /\*\*\*  $x \in (A \cup B) \setminus (A \cap B)$  \*\*\*/ Run  $M_A$  and  $M_B$  in parallel (using dovetailing) on x If  $M_A$  accepts then accept (and halt) else if  $M_B$  accepts then reject (and halt)

The main observation is that if on x,  $M_{A\cup B}$  accepts and  $M_{A\cap B}$  rejects, then x belongs to exactly one out of A and B. Thus, exactly one of the simulations of  $M_A$  and  $M_B$  will accept, and whichever one terminates first, we know whether  $x$  belongs to  $A$  or not.

9. (8 pts) A set L is r.e. iff there is a recursive predicate (computable by a TM that always halts) R such that  $L = \{x \mid \exists y : R(x, y)\}\.$  L is co-r.e. iff there is a recursive predicate R such that  $L = \{x \mid \forall y : R(x, y)\}\.$  By counting the number of alternating quantifiers, you actually get a measure of difficulty.

Consider  $HALT_{TM} = \{ \langle M, x \rangle \mid M \text{ halts on } x \}.$  As  $HALT_{TM}$  can be rewritten as  $\{ \langle M, x \rangle \mid \exists i : M \text{ halts on } x \}.$ in i steps on x, the corresponding R can be defined as  $R(M, x, i)$  = true if M (on x) halts in i steps; false, otherwise. Likewise,  $E_{TM} = \{ \langle M \rangle | L(M) = \emptyset \}$  can be written as  $\{ \langle M \rangle | \forall x \forall i : M$  does not accept in i steps on  $x$ .

- (a) Consider  $ALL_{TM} = \{ \langle M \rangle | L(M) = \Sigma^* \}.$  Show that  $L(M) = \Sigma^*$  can be expressed as  $\forall..\exists..\,R(.)$ . Complete the detail of the above logical formula. Sol.  $\forall x, \exists i, M$  accepts x in i steps.
- (b) Consider  $FINTE_{TM} = \{\langle M \rangle | L(M)$  is finite}. Express the condition "L(M) is finite" using a logical formula. Sol.  $∃i∀j∀y, |y| > i$ , M does not accept y in j steps.