## Theory of Computation

Spring 2020, Final Exam (Solutions)

## June 23, 2020

- 1. (20 pts) True or False? No penalty for wrong answers. (Note that  $\leq_P$  stands for polynomial-time many-one reduction and  $\leq_m$  stands for many-one reduction.)
  - (1) The language  $\{a^i b^j c^i \mid i \leq j \leq 2i\}$  is not context-free. Sol. O
  - (2) The class of non-context-free languages is closed under complementation. Sol.  $\times$

 $\{ww \mid w \in \{0,1\}^*\}$  is non-context-free, but its complement is CFL

- (3) If A is Turing-recognizable and  $A \leq_m \overline{A}$ , then A is Turing-decidable. Sol. O
- (4) If L<sub>1</sub> and L<sub>2</sub> are NP-complete, then L<sub>1</sub> ≤<sub>P</sub> L<sub>2</sub> and L<sub>2</sub> ≤<sub>P</sub> L<sub>1</sub>.
  Sol. O
  It follows directly from the definition of NP-completeness.
- (5) If  $L_1$  and  $L_2$  are NP-complete, then  $L_1 \leq_m L_2$  and  $L_2 \leq_m L_1$ . Sol. O Surely, if  $L_1 \leq_P L_2$  then also  $L_1 \leq_m L_2$ .
- (6) If L<sub>1</sub> ≤<sub>P</sub> L<sub>2</sub>, L<sub>2</sub> ≤<sub>P</sub> L<sub>1</sub>, and L<sub>1</sub>, L<sub>2</sub> ∈ NP, then L<sub>1</sub> and L<sub>2</sub> are both NP-complete.
  Sol. ×
  Let L<sub>1</sub> = L<sub>2</sub> = Ø. Surely Ø ≤<sub>P</sub> Ø by a reduction that is e.g. the identity function but Ø cannot be NP-complete (because none of the languages in NP, except for Ø itself, are reducible to Ø).
- (7) If L<sub>1</sub> is NP-complete and L<sub>1</sub> ≤<sub>P</sub> L<sub>2</sub>, then L<sub>2</sub> is NP-complete.
  Sol. ×
  We only know that L<sub>2</sub> is NP-hard.
- (8) NP is the class of languages that cannot be decided in polynomial time using deterministic Turing machines. Sol. ×
- (9) co-NP  $\subseteq$  EXPTIME. Sol. O
- (10) If  $L \in \mathbb{P}$ , then  $L^* \in \mathbb{P}$  as well. Sol. O
- (11)  $L = \{ \langle M, w \rangle \mid M \text{ accepts } w \text{ in less than 100 steps} \}$  is decidable. Sol. O
- (12) If A is recursive and  $A \leq_P B$ , then B must be recursive. Sol.  $\times$
- (13) The problem of determining if a context-free grammar generates the empty language is undecidable. Sol.  $\times$
- (14) The class of Turing-recognizable languages is closed under intersection. Sol. O
- (15) The set of Turing-recognizable languages is a countably infinite set (i.e., there exists a one-to-one correspondence between the set and the set of natural numbers).
   Sol. O
- (16) Suppose  $L_1$  is context-free and  $L_2$  is regular, then the problem of deciding whether  $L_1 \subseteq L_2$  is decidable. Sol. O
- (17) Primitive recursive functions are those that can be computed by Turing machines that always halt. Sol.  $\times$  Ackermann function is a total recursive function which is not primitive recursive.
- (18) It is possible for some undecidable language to be NP-Complete. Sol.  $\times$

(19) The language  $L = \{ \langle M, w \rangle \mid \text{TM } M \text{ moves right exactly twice while operating on } w \}$  is decidable. Sol. O

If it can move right only twice, then M can read only the first two input characters.

- (20)  $NSPACE(\log^2 n) \subseteq P.$ Sol. O
- 2. (10 pts) Let  $\Sigma = \{a, b\}$ , and consider the language  $A = \{w \in \Sigma^* \mid w = w^R, |w| \text{ is even}\}$ , where  $w^R$  denotes the reverse of w and |w| denotes the length of w. For instance,  $aabbaa \in A$ .
  - (a) Give a CFG G for A. Be sure to specify G as a 4-tuple  $G = (V, \Sigma, R, S)$ . Sol.  $S \to aSa \mid bSb \mid \epsilon$
  - (b) Give a PDA for A. You only need to give the drawing. Sol.



- 3. (10 pts) Consider the following context-free grammar G in Chomsky normal form:

In CYK parsing algorithm, given a  $w = a_1 \dots a_n$ , we define  $t_{ij} = \{A \mid A \stackrel{*}{\Rightarrow} a_i \dots a_j\}$ . Fill in the blanks in the following table in the process of parsing w = abba.

$t_{ij}$	1	2	3	4
1				
2	-			
3	-	-		
4	-	-	-	
	a	b	b	a

	$t_{ij}$	1	2	3	4
	1	Α	Α	S, A	S, A
Sol.	2	-	В	А	S, A
	3	-	-	В	В
	4	-	-	-	Α

4. (10 pts) Show that if P=NP then every language  $B \in P$ , except for  $\emptyset$  and  $\Sigma^*$ , is NP-complete. (Hint: Show that for every language  $A \in P=NP$ ,  $A \leq_P B$ .) Solutions a polynomial time TM  $M_{-}$  decides A

**Sol.** Assume a polynomial-time TM  $M_A$  decides A.

Assume that P=NP. Let  $B \in P$  such that  $B \neq \emptyset$  and  $B \neq \Sigma^*$ . This means that there is a string  $w_{in} \in B$  and a string  $w_{out} \notin B$ . We want to show that B is NP-complete.

Surely, the language B is in NP=P (by our assumption). We have to show that B is NP-complete. Let A be an arbitrary language from NP=P. Hence A has a polynomial time decider  $M_A$ . We need to argue that  $A \leq_P B$ . Here is a poly-time reduction f from A to B:

"On input w: 1. Run  $M_A$  (decider for A) on w.

2. If  $M_A$  accepted then output  $w_{in}$ . If  $M_A$  rejected then output  $w_{out}$ ."

This is a poly-time reduction from A to B, and hence B is NP-complete.

5. (12 pts) Consider the following classes of languages as (1)-(7).

(1) Finite, (2) Regular, (3) Context-free, (4) Context-sensitive, (5) Recursive, (6) Recursively enumerable, (7) All possible languages.

For each of the following languages, specify the *lowest-numbered class* to which it <u>surely</u> belongs. For example, for a context-free language L that is not regular, the right answer is (3), although  $\overline{L}$  clearly belongs to all classes of languages larger than (3). Similarly, suppose L is recursively enumerable, the right answer is (6), although L could possibly be recursive but the available information does not guarantee that.

- (a) ..... The complement of an undecidable language.Sol. 7 Take an r.e. but not recursive language, whose complement is not r.e.
- (b) ..... The complement of a language in NP. **Sol.** 5;  $NP \subseteq PSPACE$ . PSPACE is recursive.
- (c) ...... The intersection of two context-free languages.
   Sol. 4 Context-free languages are also context-sensitive. Context-sensitive languages are closed under intersection.
- (d) ...... The complement of a context-sensitive language. Sol. 4 Context-sensitive language are closed under complementation (due to Immerman theorem).
- (f) ..... The intersection of a recursive language and a recursively enumerable language. Sol. 6 r.e.  $\cap$  recursive is r.e.
- 6. (10 pts) Consider  $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM with } L(M) = \emptyset \}$ . It is known that  $E_{TM}$  is not recursive. Answer the following question:
  - (a) Is E<sub>TM</sub> co-Turing-recognizable? Why?
    Sol. Yes. Design a TM M' that nondeterministically guesses an input x and simulates M on x, accepts if M accepts x. Clearly, M' accepts E<sub>TM</sub>; hence, E<sub>TM</sub> co-Turing-recognizable.
  - (b) Does  $E_{TM} \leq_m A_{TM}$  hold? Why? Recall that  $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts } w\}$ . Sol. No. If  $E_{TM} \leq_m A_{TM}$ , then  $E_{TM}$  is Turing-recognizable. This, together with (a) above, implies Tring-decidability of  $E_{TM}$ , which is known to be false.

- 7. (10 pts) Define a *two-headed finite automaton* (2DFA) to be a deterministic finite automaton that has <u>two</u> read-only, bidirectional (i.e., two-way) heads that start at the left-hand end of the input tape and can be independently controlled to move in either direction. The tape of a 2DFA is finite and is just large enough to contain the input plus two additional blank tape cells, one on the left-hand end and one on the right-hand end, that serve as delimiters (i.e., end-markers). A 2DFA accepts its input by entering a special accept state.
  - (a) Explain in a convincing way how a 2DFA can recognize the language  $\{a^n b^n c^n \mid n \ge 0\}$ . Sol. Let the two heads be  $h_1$  and  $h_2$ . Assume that initially both are scanning the first input symbol.
    - i. Move  $h_2$  to the beginning of b, while keeping  $h_1$  intact;
    - ii. Move both  $h_1$  and  $h_2$  to the right if  $h_1$  reads an a and  $h_2$  reads a b; repeat until  $h_1$  sees a b and  $h_2$  sees a c simultaneously, then go to the next step. (This step is to compare the number of as with the number of bs.)
    - iii. Move both  $h_1$  and  $h_2$  to the right if  $h_1$  reads an b and  $h_2$  reads a c; repeat until  $h_1$  sees a c and  $h_2$  sees the right endmarker simultaneously, then accepts. (This step is to compare the number of bs with the number of cs.)
  - (b) Let  $E_{2DFA} = \{\langle M \rangle \mid M \text{ is a 2DFA and } L(M) = \emptyset\}$ . Explain in a convincing way how to use the undecidability of PCP to show that  $E_{2DFA}$  is not decidable. (Hint: suppose  $P = \{(x_1, y_1), ..., (x_n, y_n)\}$  is an instance of a PCP. Can you design a 2DFA M such that  $L(M) \neq \emptyset$  iff P has a match?) Sol. Suppose the alphabet of  $P = \{(x_0, y_0), ..., (x_n, y_n)\}$  is  $\Sigma = \{a, b\}$ . Design a  $E_{2DFA}$  with alphabet
    - $\{0, 1, \#, a, b\}$  which operate in the following way:
      - i. Check if the input w is of the form  $(\{0,1\}^+ \cdot \#)^* \{a,b\}^*$ ; reject if otherwise. Reset the two heads to the leftmost position.
    - ii. For an input, e.g., 011#101#0#abbaaabab, the  $E_{2DFA}$  accepts if  $abbaaabab = x_3x_5x_0 = y_3y_5y_0$ , which can be done by
      - A. using the first head  $h_1$  to read 011, find  $x_3$  (kept in the finite state control of the 2DFA), compare  $x_3$  with the prefix of *abbaaabab* scanned by the second head  $h_2$ . If successful, repeat the above by letting  $h_1$  read the second index, i.e., 101 in our case, and  $h_2$  compare  $x_5$  with the remainder of the input, and so on ... until the input is completely read. Then reset both heads to the leftmost position, and go to the next step.
      - B. As in the previous step, use  $h_1$  to find the index *i* and  $h_2$  to check whether  $y_i$  matches the corresponding part in *abbaaabab*.

Clearly, the 2DFA accepts iff the PCP has a match.

- 8. (10 pts) True or False? Justify your answers.
  - (a) Suppose L is TM-recognizable but not TM-decidable. Then any TM that recognizes L must fail to halt on an infinite number of strings.
    Sol. True. If not we can imagine a decider for L: first compare input to the elements of that finite set and if it is there reject. Otherwise simulate "recognizer" of L on the input and return what it returns. Note that it just shows that such a decider exists, it is not a recipe of how to construct it since we don't have a representation of that finite set.
  - (b) Suppose A and B are recursively enumerable languages such that A ∪ B and A ∩ B are both decidable (i.e., recursive). Then A is decidable.
    Sol. True.

Let  $M_A$  and  $M_B$  be TMs recognizing A and B, respectively. Let  $M_{A\cup B}$  and  $M_{A\cap B}$  be decision procedures for  $A \cup B$  and  $A \cap B$ , respectively. An algorithm for A is as follows.

On input xRun  $M_{A\cup B}$  on xIf  $M_{A\cup B}$  rejects then reject (and halt) else /\*\*\* x is in  $A \cup B$  \*\*\*/ Run  $M_{A\cap B}$  on xIf  $M_{A\cap B}$  accepts then accept (and halt) else /\*\*\*  $x \in (A \cup B) \setminus (A \cap B)$  \*\*\*/ Run  $M_A$  and  $M_B$  in parallel (using dovetailing) on xIf  $M_A$  accepts then accept (and halt) else if  $M_B$  accepts then reject (and halt)

The main observation is that if on x,  $M_{A\cup B}$  accepts and  $M_{A\cap B}$  rejects, then x belongs to exactly one out of A and B. Thus, exactly one of the simulations of  $M_A$  and  $M_B$  will accept, and whichever one terminates first, we know whether x belongs to A or not.

9. (8 pts) A set L is r.e. iff there is a recursive predicate (computable by a TM that always halts) R such that  $L = \{x \mid \exists y : R(x, y)\}$ . L is co-r.e. iff there is a recursive predicate R such that  $L = \{x \mid \forall y : R(x, y)\}$ . By counting the number of alternating quantifiers, you actually get a measure of difficulty.

Consider  $HALT_{TM} = \{\langle M, x \rangle \mid M \text{ halts on } x\}$ . As  $HALT_{TM}$  can be rewritten as  $\{\langle M, x \rangle \mid \exists i : M \text{ halts in } i \text{ steps on } x\}$ , the corresponding R can be defined as R(M, x, i) = true if M (on x) halts in i steps; false, otherwise. Likewise,  $E_{TM} = \{\langle M \rangle \mid L(M) = \emptyset\}$  can be written as  $\{\langle M \rangle \mid \forall x \forall i : M \text{ does not accept in } i \text{ steps on } x\}$ .

- (a) Consider ALL<sub>TM</sub> = {⟨M⟩ | L(M) = Σ\*}. Show that L(M) = Σ\* can be expressed as ∀..∃..R(..). Complete the detail of the above logical formula.
  Sol. ∀x, ∃i, M accepts x in i steps.
- (b) Consider FINITE<sub>TM</sub> = {⟨M⟩ | L(M) is finite}. Express the condition "L(M) is finite" using a logical formula.
  Sol. ∃i∀j∀y, |y| > i, M does not accept y in j steps.